

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ANANDA, M.

Serial No: 09/668,421

Filed: Sept. 22, 2000

For: ON-LINE POSTAGE SYSTEM



RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application with a mailing date of November 1, 2000, Applicant has enclosed the following:

1. A check in the total amount of \$1,488, consisting of the basic filing fee (\$710), additional claims fee (\$538), surcharge (\$130) as set forth in 37 CFR §1.16(e) and a one-month extension fee (\$110) as set forth in 37 CFR §1.17(a);
2. A copy of the Notice to File Missing Parts;
3. Notification of Change in Entity Status pursuant to 37 CFR 1.28;
4. Certificate of Mailing by EXPRESS MAIL; and
5. A return receipt postcard.

Pursuant to 37 C.F.R. §1.136(a), Applicant hereby petitions the Commissioner of Patents and Trademarks to extend the time for filing a response to the Notice to File Missing Parts of Application mailed November 1, 2000 for one (1) month from January 1, 2001 to February 1, 2001. The requisite extension fee is enclosed as indicated above.

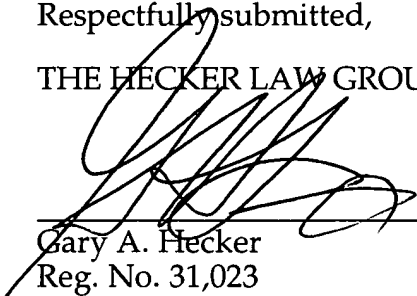


The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment associated with this communication to The Hecker Law Group, Deposit Account No. 08-1520.

Respectfully submitted,

THE HECKER LAW GROUP

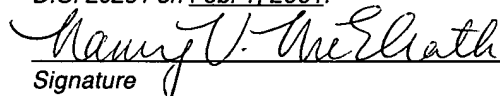
Date: Feb. 1, 2001

  
\_\_\_\_\_  
Gary A. Hecker  
Reg. No. 31,023

1925 Century Park East  
Suite 2300  
Los Angeles, California 90067  
(310) 286-0377

**CERTIFICATE OF MAILING**

*This is to certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (Label No. EL705170014US) in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on Feb. 1, 2001.*

 2-1-01  
Signature Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ANANDA, M.

Serial No: 09/668,421

Filed: Sept. 22, 2000

For: ON-LINE POSTAGE SYSTEM



NOTIFICATION OF CHANGE IN ENTITY STATUS  
PURSUANT TO 37 CFR 1.28

Assistant Commissioner for Patents  
Washington, D. C. 20231

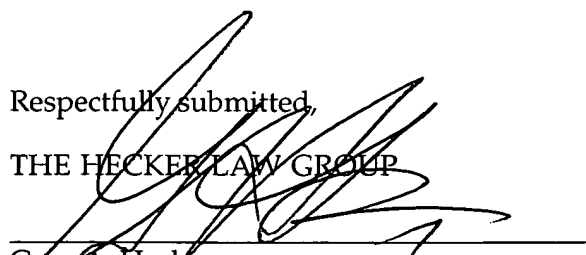
Sir:

It has now come to my attention that a change in the status of this application has occurred and that small entity status is no longer appropriate. Enclosed therefore with our Response to the Notice to File Missing Parts are the fees for a large entity. This communication provides notification of the change in status pursuant to the provisions of 37 C.F.R. § 1.28.

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment associated with this communication to The Hecker Law Group, Deposit Account No. 08-1520.

Respectfully submitted,

THE HECKER LAW GROUP

Date: Feb. 1, 2001  
\_\_\_\_\_  
Gary A. Hecker  
Reg. No. 31,023

1925 Century Park East  
Suite 2300  
Los Angeles, California 90067  
(310) 286-0377

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 2-1-01  
\_\_\_\_\_  
Signature Date



Section #✓

Please add a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/668,421	
	Filing Date	09/22/00	
	First Named Inventor	ANANDA, M.	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	81045.913D3

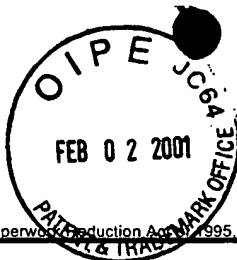
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks	Additional Enclosure(s): 1) Notification of Change in Entity Status pursuant to 37 CFR 1.28; 2) Copy of Notice to File Missing Parts; 3) Return postcard	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary A. Hecker, Esq. THE HECKER LAW GROUP
Signature	
Date	Feb. 1, 2001

CERTIFICATE OF MAILING		Express
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as <del>XXXXXXX</del> mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Feb. 1, 2001		
Typed or printed name	Nancy V. McElrath	
Signature		Date 2-1-01

Mail Label  
EL705170014US

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Project 995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 1,488

## Complete if Known

Application Number	09/668,421
Filing Date	09/22/00
First Named Inventor	ANANDA, M.
Examiner Name	
Group Art Unit	
Attorney Docket No.	81045.913D3

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ ) 710

### 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid  
Total Claims 41 -20\*\* = 21 X \$18 = \$378  
Independent Claims 5 -3\*\* = 2 X 80 = 160  
Multiple Dependent  =

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 538

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	110
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 240

## SUBMITTED BY

Name (Print/Type)	Gary A. Hocker, Esq.	Registration No. (Attorney/Agent)	31,023	Telephone	(310) 286-0377
Signature		Date	02/01/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

04/16/2001 TV111 00000013 0955A421  
05 FC:215

00000057 09568421

02/07/2001 HUJUNG1

01 FC:115



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/668,421	09/22/2000	Mohan Ananda	81045.913D3

The Hecker Law Group  
Suite 2300  
1925 Century Park East  
Los Angeles, CA 90067

## FORMALITIES LETTER



\*OC000000005522752\*

Date Mailed: 11/01/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 345 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$267.
  - \$189 for 21 total claims over 20.
  - \$78 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 677.

04/16/2001 TVD111 00000013 09668421

01 FC:201	355.00 DP
02 FC:202	80.00 DP
03 FC:203	184.00 DP
04 FC:205	65.00 DP

184 copy of this notice **MUST** be returned with the reply

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Reply Ref: 04/16/2001 TVD111 0010164500  
DPH: 041520 Name/Number: 09668421  
FC: 704 \$744.00 CR